

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Joon Tae AHN, et al.  
Serial No.: New Application Group Art Unit: Not Yet Assigned  
Filed: November 25, 2003 Examiner: Not Yet Assigned  
Title: GAIN-CLAMPED OPTICAL AMPLIFIER

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INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313

November 25, 2003

Sir:

As a means of complying with the duty of disclosure under 37 CFR §1.56, and in accordance with 37 CFR §§1.97 and 1.98, Applicant(s), through the undersigned attorney, submits this Information Disclosure Statement. The patents, publications or other information submitted herewith are listed on the attached Form PTO-1449 and copies are attached.

In accordance with 37 CFR §1.97(b)(1) or (2), this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, or within three months of the date of entry into the national stage of the above-identified application as set forth in 37 CFR §1.491. Accordingly, no fee is required.

Respectfully submitted,

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## LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.: P69295US0 GROUP ART UNIT: Not Yet Assigned  
 SERIAL NO.: New Application FILING DATE: November 25, 2003  
 APPLICANT(S): Joon Tae AHN, et al. TODAY'S DATE: November 25, 2003

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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	INT'L CLASS	SUB- CLASS	FILING DATE (If Appropriate)
_____	AA 6,249,373	6/19/01	Woodward	H01S	3/00	12/22/99
_____	AB 5,991,068	11/23/99	Massicott, et al.	H01S	3/06	10/21/96
_____	AC _____	_____	_____	_____	_____	_____

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## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	INT'L CLASS	SUB- CLASS	TRANSLATION (YES) (NO)
AD 01-77674	8/20/01	KOREA (ROK)	G02B	26/00	X
AE 02-1009	_____	KOREA (ROK)	_____	_____	X

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## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AF M. Bachmann, et al.; "Polarisation insensitive clamped-gain SOA with integrated spot-size convertor and DBR gratings for WDM applications at 1.55um wavelength"; ELECTRONICS LETTERS; 24<sup>TH</sup> October 1996, Vol. 32, No.22. pp.2076-2078.

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).